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| MALTA FINANCIAL SERVICES AUTHORITY |
| **Authorisation Forms** |
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| **Form AA120: Notification for the Cross-Border provision of Crypto-Asset Services by Crypto-Asset Services Providers Authorised in Malta** | |
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| 1. General   The Cross-border provision of crypto-asset services (Article 32) (hereinafter ‘Passporting’), set out under the Markets in Crypto-Assets Act (Chapter 647 of the Laws of Malta) set out that Crypto-Asset Service Providers authorised in Malta and wishing to exercise a European right shall give notice of their intention to the MFSA and in this regard shall communicate certain information to the MFSA. In this context, Maltese Crypto-Asset Service Providers are requested to utilise this Notification Form to give such notice to the MFSA together with the information that needs to be communicated.  Maltese Crypto-Asset Service Providers therefore are requested to utilise this Notification Form where a crypto-asset service provider intends to provide crypto-asset services in more than one Member State as per Article 32 of Markets in Crypto-Assets Act (Chapter 647 of the Laws of Malta).  The Authorised Person is required to make reference, and where applicable comply with, the relevant Act, the Regulations made, or Rules issued thereunder during the completion of the Notification. The Authorised Person shall also refer to the respective National and/or European Regulatory Frameworks or other binding regulation as may be applicable.  The Authorised Person shall not tamper with, or modify in any manner, this Notification. Should it transpire that the documents were tampered with, or modified in any manner, the Authority shall consider the submission to be invalid. Any potential improvements should be communicated to the MFSA for consideration.  The Authority may, at its sole discretion, request from the Authorised Person further information/ documentation.   |  |  | | --- | --- | | Notification Type | Select item | | Change Type  If ‘*Change/s to previous Notification*, indicate the type of’ change/s | Select item |  1. Definitions   For the purposes of this Notification the following shall mean:   |  |  | | --- | --- | | **Authorised Person** | Any person who is authorised by the MFSA under the Markets in Crypto-Assets Act (Act XXXVI of 2024), who wishes to exercise a European right to provide crypto services in another Member State. | | **Maltese Crypto-Asset Service Provider**  **(CASP)** | A legal person or other undertaking, licensed by the Malta Financial Services Authority, whose occupation or business is the provision of one or more crypto-asset services on a professional basis, and that is allowed to provide crypto-asset services in accordance with Article 26 of Chapter 647 of the Laws of Malta. Hereinafter to be referred to as “CASP”. |  1. Instructions   The Authorised Person is required to complete all the respective sections under this Notification.   1. **Section 1 – Identification Details** 2. **Section 2 – Passporting Details for Crypto-Asset Services** 3. **Section 3 – Checklist and Declaration** 4. Privacy Notice   The MFSA ensures that any processing of personal data is conducted in accordance with Regulation (EU) 2016/679 (General Data Protection Regulation), the Data Protection Act (Chapter 586 of the Laws of Malta) and any other relevant European Union and national law. For further details, you may refer to the MFSA Privacy Notice available on the MFSA webpage <https://www.mfsa.mt/privacy-notice/>. | |
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| Section 1 – Identification Details | | | | | |
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| **1.1** | **Crypto-Asset Service Provider Identification Details** | | | | |
|  | **Identification Details** | | | | |
|  | Authorisation ID | Enter text | | | |
|  | LEI Code  *(if available)* | Enter text | | | |
|  | Registered Name | Enter text |  | Registered Number | Enter text |
|  | Home Member State | Malta | | | |
|  | Name of the Competent Authority in the Home Member State | Malta Financial Services Authority | | | |
|  | **General Passporting Details** | | | | |
| 1.1.2.1 | Nature of Passporting | Select item | | | |
|  | **Contact Person for Passporting**  *(Note: This refers to a contact point for passporting of the Crypto-Asset Service Provider)* | | | | |
|  | Title | Select item | | | |
|  | Name | Enter text |  | Surname | Enter text |
|  | Business Email Address | Enter text |  | Business Direct Number | Enter text |

**Section 2 – Passporting Details for Crypto-Asset Service Provider**

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| **2.1** | **Passporting Details for Crypto-Asset Service Provider** | |
| 2.1.1 | **Intended services / activities to be provided** | |
| 2.1.1.1 | Proposed date of commencement of activities/services | Enter date |
| 2.1.1.2 | Host Member State/s in which the Authorised Person intends to operate | Select country  *(Add multiple as applicable)* |
| 2.1.1.3 | **Crypto-Asset Services** | | |
| ***Activity/ies*** | ***Activity/ies that the Crypto-Asset Service Provider intends to carry out*** | |
| 1. Providing custody and administration of crypto-assets on behalf of clients | Select item | |
| 1. Operation of a trading platform for crypto-assets | Select item | |
| 1. Exchange of crypto-assets for funds | Select item | |
| 1. Exchange of crypto-assets for other crypto-assets | Select item | |
| 1. Execution of orders for crypto-assets on behalf of clients | Select item | |
| 1. Placing of crypto-assets | Select item | |
| 1. Reception and transmission of orders for crypto-assets on behalf of clients | Select item | |
| 1. Providing advice on crypto-assets | Select item | |
| 1. Providing portfolio management on crypto-assets | Select item | |
| 1. Providing transfer services for crypto-assets on behalf of clients | Select item | |
| 2.1.1.4 | Provide a list of all other activity/ies provided by the crypto-asset service provider not covered by Regulation (EU) 2023/1114 | | |
| Enter text | | |

| Section 3 - Checklist and Declaration | | | | | |
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| **3.1** | **Declaration** | | | | |
| 3.2.1 | The undersigned, on behalf of the Authorised Person, declares that the information given in answer to the questions within this Notification; AA120 is complete and accurate to the best of their knowledge.  Signature must be in wet ink or a valid qualified electronic signature in accordance with the circular issued by MFSA on the use of electronic signatures dated 15th November 2022. | | | | |
| Signature | | | | |
| 3.2.2 | Name | Enter text | 3.2.3 | Surname | Enter text |
| 3.2.4 | Position | Enter text |  |  | |
| 3.2.5 | Identification Number | Enter text | 3.2.6 | ID type | Select item |
| 3.2.7 | Date | Enter date | | | |