

22 June 2023

Update on the Developments in the Collection, Transmission and Validation of Supervisory Reporting Submissions

Pursuant to the [Circular dated 16 December 2022](#), the purpose of this Circular is to bring to the attention to all investment firms licensed by the MFSA on further changes in the file naming convention and modules available of the EBA XBRL submissions through the LH Portal in relation to Supervisory Reporting modules as from reference date **June 2023**, in line with the [EBA XBRL Filing Rules version 5.2](#).

XBRL File Naming Convention

Please note that in line with the EBA guidelines, the following naming conventions should be adopted for the XBRL submissions of the Supervisory Reporting module:

LEI.ReportType_CountryCode_ReportingFramework_ModuleCode_ReferenceDate_CreationTimestamp

Where:

LEI: 20-character Legal Entity Identifier

ReportType: IND/CON (Individual/Consolidated)

CountryCode: MT

ReportingFramework: Framework name defined by the DPM/XBRL taxonomy in uppercase followed by the taxonomy version in six digits IF010100.

ModuleCode: The name of the module being submitted as defined by the taxonomy without underscore and in upper-case.

Available Modules:

- **IFCLASS2** - Investment Firms Class 2
- **IFCLASS3** - Investment Firms Class 3
- **IFGROUPTTEST** - Investment Firms Group Capital Test (at this stage the derogation from Prudential Consolidation i.e. the Group Capital Test is not granted by the Authority)

ReferenceDate: The reference date of the module being submitted, in the format YYYY-MM-DD

CreationTimestamp: 17-digit timestamp of the XBRL file creation, in the format YYYYMMDDhhmmsszzz, where z denotes milliseconds (for example 20230630132744111). This value must be unique and consequently in the event of resubmissions, the CreationTimestamp must be different.

To clarify, the IND/CON extension will no longer be included in the ModuleCode but will be tied to the investment firm's LEI, as illustrated in the following example:

Before 30 June 2023:

LEICODE1234567890123_MT_IF010100_IFCLASS2IND_2022-12-31_20221207132744111

On or after 30 June 2023:

LEICODE1234567890123.IND_MT_IF010100_IFCLASS2_2023-06-30_20230630132732123

ZIP File Naming Convention

Please note that the following file naming convention should be used for the ZIP file containing the XBRL file:

LEI.ReportType_CountryCode_ReportingFramework_ModuleCode_ReferenceDate

Where:

LEI: 20-character Legal Entity Identifier

ReportType: IND/CON (Individual/Consolidated)

CountryCode: MT

ReportingFramework: Framework name defined by the DPM/XBRL taxonomy in uppercase followed by the taxonomy version in six digits IF010100.

ModuleCode: The name of the module being submitted as defined by the taxonomy without underscore and in upper-case. The available modules are IFCLASS2, IFCLASS3 and IFGROUPTTEST as explained above.

ReferenceDate: The reference date of the module being submitted, in the format YYYY-MM-DD

Similar to the change in the XBRL naming convention, the IND/CON extension will no longer be included in the ModuleCode but will be tied to the investment firm's LEI, as illustrated in the following example:

Before 30 June 2023:

LEICODE1234567890123_MT_IF010100_IFCLASS2IND_2022-12-31

On or after 30 June 2023:

LEICODE1234567890123.IND_MT_IF010100_IFCLASS2_2023-06-30

Investment Firms are reminded that in cases where the XBRL and/or ZIP filename does not match the naming conventions as specified above, the upload will not be successful, and the submission will not be considered as valid and the Investment Firm will not be considered in line with the applicable regulatory obligations.

Furthermore, Investment Firms are reminded that the EBA may change the validation rules from time to time, and Investment Firms must also ensure that they use the latest version in order to avoid errors when uploading the XBRL files.

The file validation, upload checks together with the submissions to the EBA and respective status have remained unchanged. In this regard, for further details please refer to the Circular dated 16 December 2022 also referred to above.

Should you have any queries in relation to the above, please do not hesitate to contact investmentfirms@mfsa.mt.