

31 May 2023

## Publication of Revised Application Forms for Fund Managers

### 1. Background

Reference is made to the Authority's ongoing commitment to revise its Application Forms submitted in terms of the Investment Service Act. In this respect, the Authority is pleased to present a revised Application Form for applicants seeking authorisation as Fund Managers – [Form AA05](#) and a new Application Form for DeMinimis Fund Managers – [Form AA33](#). As part of this revision, the Authority has also launched three new Appendices. These being [AX28](#) and [AX29](#) which will serve as checklists for the Risk Management Policy to be submitted by AIFMs and UCITS Management Companies respectively and [AX27](#) which aims to act as a declaration by applicants seeking to be authorised as Alternative Investment Fund Managers.

It should be noted that the Authority does not exclude the possibility of further updates on Forms AA05 and AA33, as well as the other Application Forms launched in June 2021 with the aim of increasing efficiency.

### 2. Transitory Period

The MFSA will continue to accept submissions by Applicants using the previous application form for Fund Management (Form AA05) until **30 June 2023**. As from **3 July 2023**, only the updated Form AA05 and Form AA33 will be accepted by the MFSA for Applicants seeking authorisation as Fund Managers (as applicable). Fund Management applications completed via Form AA05 and AA33 should continue to be submitted through the LH Portal.

Should you have any queries in relation to this briefing, please contact us on [ausecurities@mfsa.mt](mailto:ausecurities@mfsa.mt).