

Form for the de-notification of marketing of units or shares of a Maltese UCITS in an EU Member State or EEA State



For the purpose of de-notifying the marketing arrangements of a compartment of a Maltese undertaking for collective investment in transferable securities (“UCITS”) pursuant to Article 93a of UCITS Directive 2009/65/EC (the “UCITS Directive”)

**MFSA - RESTRICTED**

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Contents



1. Name of the UCITS:

[Insert text here]

1. Address of the UCITS:

[Insert text here]

1. UCITS Home Member State:

[Insert text here]

1. Please specify the Member State(s) in which the UCITS will cease any new or further, direct, or indirect, offering or placement of units or shares of the UCITS relevant for this de-notification:

[Insert text here]

1. Does the UCITS have compartments:

[ ]  Yes

[ ]  No

1. General information of the UCITS:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name of the UCITS/ compartment to be de-notified  | Date of the initial notification of marketing | Date of application of de-notification of marketing arrangements) | LEI code of the UCITS/compartment of the UCITS to be de-notified | Name and ISIN of share classes |
| [Insert text here] | [Insert text here] | [Insert text here] | [Insert text here] | [Insert text here] |

1. Name of the UCITS Management Company/ self-managed investment Company:

[Insert text here]

1. UCITS Management Company home Member State:

[Insert text here]

1. Address of the registered office of the UCITS Management Company:

[Insert text here]

1. Details of the UCITS Management Company’s website:

[Insert text here]

1. Details of the contact person at the UCITS Management Company/ self-management Company:

Name:

Position:

Telephone Number:

Email address:

1. The UCITS management company’s/ self-managed investment company hereby confirms that the conditions required for the de-notification of the marketing arrangements of the UCITS compartment under Article 93a (1) a) to c) of the UCITS Directive have been complied with:

[ ]  Yes

[ ]  No

Explanation: [Insert text here]

1. Indication of the publicly available medium where the intention to terminate marketing arrangements for the relevant UCITS compartment is made public pursuant to Article 93a 1) b) of the UCITS Directive:

[Insert text here]

1. Confirmation as to whether investors in the host Member State where de-notification takes place are still invested in the relevant UCITS compartment at the time of de-notification:

[ ]  Yes

[ ]  No

1. If Q2.3 is answered **YES**, the UCITS management company’s/ self-managed investment company hereby confirms that the conditions under Article 93a (4) of the UCITS Directive shall be complied with for as long as investors in the host Member State remain invested in the relevant UCITS Compartment:

[ ]  Yes

[ ]  No

1. The UCITS Management Company/ self-managed investment company hereby confirms that it will inform the MFSA per email to ucitsnotifications@mfsa.mt as soon as the relevant UCITS compartment shall cease to have investors in the host Member States:

[ ]  Yes

[ ]  No

Declaration

The signatories declare having answered the questions in this de-notification form completely and truthfully, and that they are authorized persons to represent the UCITS. The signatories shall state their full names and capacity and shall ensure the form is dated.

|  |  |
| --- | --- |
| Signature:[Insert text here] | Date:[Insert text here] |
| Name:[Insert text here] | First Name:[Insert text here] |
| Company:[Insert text here] | Position:[Insert text here] |
| Phone:[Insert text here] | E-mail address:[Insert text here] |