

24 November 2022

Publication of a Revised Application Form for Investment Firms

1. Background

Reference is made to the [Circular](#) issued by the Authority on 30 June 2021 regarding the publication of the MFSA's Authorisation Charter, Processes and Application Forms. As mentioned in this circular, the application forms launched in June 2021, were not to be viewed as an end transformation journey. The MFSA is committed to continue investing in building its technological capacity to increase efficiencies and reduce the regulatory burden on applicants and authorised persons.

To this effect, the Authority is pleased to present a revised Application Form for applicants seeking authorisation as Investment Firms – [Form AA04](#).

It should be noted that the Authority does not exclude the possibility of further updates on Form AA04, as well as the other Application Forms launched in June 2021 with the aim of increasing efficiency.

2. Transitory Period

The MFSA will continue to accept submissions by Applicants using the previous application form for Investment Firms (Form AA04) until **31 December 2022**. As from **1 January 2023**, only the updated Form AA04 will be accepted by the MFSA for Applicants seeking authorisation as an Investment Firm. Investment Firms applications completed via Form AA04 should continue to be submitted through the LH Portal.

Should you have any queries in relation to this briefing, please contact us on ausecurities@mfsa.mt.