

18 December 2020

Submission of the Conduct-Related Data Return

The purpose of this Circular is to inform the industry about the next deadlines for the submission of the Conduct-Related Data Return ('Return') and the List of Financial Instruments ('FI List') by Investment Services Licence Holders.

Investment Services Licence Holders are required to submit the Return on a quarterly basis and the FI List on a bi-annual basis. The reporting deadlines for the upcoming year are indicated in the submission deadline document available on the MFSA website via [this link](#).

Entities are urged to refer to the Guidelines document available on the MFSA website when compiling the Return and/or FI List for any clarifications they may need in respect of the information to be included in the applicable Survey/s. The Guidelines and Glossary can be found on the MFSA website and can be accessible via [this link](#).

Investment Services Licence Holders are required to submit the Return covering Q4 2020 and the FI List covering H2 2020 by 12 February 2021.

Contacts

Should you have any queries, please do not hesitate to contact us on financialpromotion@mfsa.mt.