|  |
| --- |
| Notification for investment firms considered to be systematicinternalisers in terms of Article 4(1)(20) of Directive 2014/65/EU (MiFID II) with respect to shares, depositary receipts, exchange traded funds (ETFs), certificates and other similar financial instruments. |
|  |
| **Details of the Investment Firm** |
|  |  |
| **Name of the Investment Firm** |  *Please insert the name of the Investment Firm.*  |
|  |  |
| **Address of the Investment Firm** |  *Please insert the address of the Investment Firm.*  |
|  |  |
| **Details of the individual making the notification** |
|  |  |
| **Name and surname**  |  *Please insert the name and surname of the individual making the notification.*  |
|  |  |
| **Position within the investment firm**  |  *Please insert the position of the individual making the notification.*  |
|  |  |
| **Professional e-mail address** |  *Please insert the professional e-mail address of the individual making the notification.*  |
|  |  |
| **Professional contact number** |  *Please insert the professional contact number of the individual making the notification.*  |
|  |  |
| **Details of the Financial Instrument** |
|  |  |
| **Instrument Identification Code** | *Please insert the code used to identify the financial instrument (ISIN).*  |
|  |  |
| **Instrument Name** | *Please insert the full name of the financial instrument.* |
|  |  |
| **Trading Venue** | *Please insert the segment Market Identifier Code (MIC) for the trading venue where the financial instrument is traded.* |
|  |  |
| **Instrument Type** | *Please choose a relevant financial instrument* |
|  |  |
| **Thresholds of the Financial Instrument** |
|  |
| In this section, the investment firm is required to provide all the required calculations that determine whether it acts in the capacity of a systematic internaliser in terms of Article 4(1)(20) of MiFID II and Article 12 of [Commission Delegated Regulation (EU) 2017/565](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0565&from=EN). |
|  |  |
| **Calculations in terms of Article 12(a) of Commission Delegated Regulation (EU) 2017/565** |
|  |
|  *Please insert calculations here.* |
|  |
|  |  |
| **Calculations in terms of Article 12(b) of Commission Delegated Regulation (EU) 2017/565** |
|  |
|  *Please insert calculations here.* |
|  |
|  |  |
| **Calculations in terms of Article 12(c) of Commission Delegated Regulation (EU) 2017/565** |
|  |
|  *Please insert calculations here.* |
|  |
|  |
| In view of the above calculations, the investment firm can/cannot be considered as a systematic internaliser in terms of Article 4(1)(20) of MiFID II. |
|  |
| **Declaration** |
|  |  |
| I confirm that the information in this notification form is accurate and complete to the best of my knowledge and belief, and that I have taken all the reasonable steps to ensure that this is the case.I will notify immediately the MFSA if there are any changes to the information given in this notification.I confirm that a permanent copy of this application signed by myself will be retained for any inspections conducted at the MFSA’s request. |
|  |
| **Date of notification** | **Signature** |
|  *Please insert the date of the notification.*  |  |