

6 November 2019

Update to Part BI: Rules applicable to Investment Services Licence Holders which qualify as MiFID Firms (“the Rules”)

Local Firms

The MFS A has updated Section 2 Part b), Chapter 2 of the Rules – Prudential Requirements of the 23 August 2019 circular in relation to Local Firms, as well as the Rules, to remove specific requirements.

Conclusion

Any queries regarding the Part B1 Rules should be directed to the Securities and Market Supervision function for attention of the Investment Firms Team (investmentfirms@mfsa.mt), whereas, any queries relating to the Part A Rules should be addressed to Authorisation Function (ausecurities@mfsa.mt).