

24 October 2019

Circular addressed to officials entrusted with the submission of regulatory reporting in relation to Collective Investment Schemes

The MFSA's Licence Holder Portal ("the LH Portal") will start facilitating the upload of regulatory reporting in relation to Collective Investment Schemes. This enhancement introduces the requirement for Licence Holders to submit the CBM Investment Funds return through the LH Portal with effect from the 21st November 2019 submission deadline, i.e. returns for the period ending 31st October 2019 should be submitted through the LH Portal. Licence Holders are still required to submit the same return to the Central Bank of Malta through INFOSTAT.

Compliance Officers of collective investment schemes will be granted access to the project '*CBM Investment Funds Statistical Return*' within the LH Portal, which can be accessed through the following link <https://lhportal.mfsa.com.mt>. In this regard, the Compliance Officer will receive an automated e-mail communicating the access to the project. In the event that a Compliance Officer does not receive such a communication by 25th October 2019, a request is to be submitted by e-mail to statistics@mfsa.com.mt.

The compliance officer may delegate the submission of the CBM Investment Funds return to the respective fund administrator or reporting agent. In this regard, the compliance officer needs to inform MFSA of such delegation by filling in the form enclosed with this Circular with the details of the person who will submit the aforementioned return and send it by e-mail to statistics@mfsa.com.mt by 31st October 2019. Access to the project '*CBM Investment Funds Statistical Return*' will then be granted to this person. If the fund administrator or reporting agent is not yet registered on the LH Portal, one can find a step-by-step guideline explaining the registration process in *Appendix 1* of the guideline document enclosed with this Circular.

Further guidance on how to access the MFSA's Licence Holder Portal and details on submission formats are included in the Guideline document enclosed with this Circular.

Contact

Should you have any queries in relation to the LH Portal submission system, kindly contact Ms. Stephanie Gauci on +356 2548 5591 or by email on statistics@mfsa.com.mt.