

## Annex IV

<b>Aggregate Statistical Data</b>
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## General remarks on filling in templates in Annex IV

- Competent authorities shall not disclose supervisory actions or decisions directed at specific institutions. When publishing information on the general criteria and methodologies, competent authorities shall not disclose any supervisory measures directed at specific institutions, whether taken with respect to a single institution or to a group of institutions.
- Numerical cells shall include only numbers. There shall be no references to national currencies. The currency used is euros and non-euro area Member States shall convert their national currencies into euros using the ECB exchange rates (at the common reference date, i.e. the last day of the year under review), with one decimal place when disclosing amounts in millions.
- Unit of disclosure shall be in millions of euro for the reported monetary amounts (hereafter – MEUR).
- Percentages shall be disclosed with two decimals.
- If data is not being disclosed, the reason for non-disclosure shall be provided using the EBA nomenclature, i.e. N/A (for not available) or C (for confidential).
- The data shall be disclosed on an aggregated basis without identifying individual either credit institutions or investment firms.
- The references to COREP templates pursuant to the Commission implementing regulation (EU) No 680/2014 are provided in Parts 1 to 4, where available.
- Competent authorities shall collect data relating to XXXX year onwards on consolidated basis. This will ensure the consistency of the information collected.
- The templates of this Annex shall be read in conjunction with the reporting scope of consolidation hereby defined. To ensure efficient data collection, the information for credit institutions and investment firms shall be reported separately, but the same level of consolidation shall be applied in both cases.
- In order to ensure the coherence and comparability of reported data, the ECB shall publish only aggregate statistical data for supervised entities for which it conducts and exercises direct supervision at the reference date of the disclosure, while national competent authorities shall publish aggregate statistical data only for credit institutions not directly supervised by the ECB.
- Data shall be compiled only for investment firms subject to CRD. Investment firms which are not subject to CRD regime are excluded from the data collection exercise.

<b>Part 1</b>
<b>Consolidated data per Competent Authority (year 2018)</b>

		Reference to COREP template	Data
	<b>Number and size of credit institutions</b>		
010	Number of credit institutions		20
020	Total assets of the jurisdiction (in MEUR) <sup>(1)</sup>		43,470.3
030	Total assets of the jurisdiction <sup>(1)</sup> as % of GDP <sup>(2)</sup>		352.85%
	<b>Number and size of foreign credit institutions<sup>(3)</sup></b>		
040	Number of branches <sup>(4)</sup>		2
050	From third countries		13,940.6
060	Total assets of branches (in MEUR)		1
070	Number of subsidiaries <sup>(5)</sup>		660.9
070	Total assets of subsidiaries (in MEUR)		
	<b>Total capital and capital requirements of credit institutions</b>		
080	Total Common Equity Tier 1 capital as % of total capital <sup>(6)</sup>	CA1 (row 020 / row 010)	84.50%
090	Total Additional Tier 1 capital as % of total capital <sup>(7)</sup>	CA1 (row 530 / row 010)	12.13%
100	Total Tier 2 capital as % of total capital <sup>(8)</sup>	CA1 (row 750 / row 010)	3.37%
110	Total capital requirements (in MEUR) <sup>(9)</sup>	CA2 (row 010) * 8%	462.8
120	Total capital ratio (%) <sup>(10)</sup>	CA3 (row 050)	29.57%
	<b>Number and size of investment firms</b>		
130	Number of investment firms		48
140	Total assets (in MEUR) <sup>(1)</sup>		294.7
150	Total assets as % of GDP		2.40%
	<b>Total capital and capital requirements of investment firms</b>		
160	Total Common Equity Tier 1 capital as % of total capital <sup>(6)</sup>	CA1 (row 020 / row 010)	97.07%
170	Total Additional Tier 1 capital as % of total capital <sup>(7)</sup>	CA1 (row 530 / row 010)	0.00%
180	Total Tier 2 capital as % of total capital <sup>(8)</sup>	CA1 (row 750 / row 010)	2.93%
190	Total capital requirements (in MEUR) <sup>(9)</sup>	CA2 (row 010) *8%	29.36
200	Total capital ratio (%) <sup>(10)</sup>	CA3 (row 050)	37.52%

(1) The total assets figure shall be the total assets value of the country for the national competent authorities, only for rows 020 and 030, and for the ECB the total assets value of Significant Institutions for the whole SSM.

(2) GDP at market price; suggested source – Eurostat/ECB.

(3) EEA countries shall not be included.

(5) Number of branches as defined in point (1) of Article 4(1) of CRR. Any number of places of business set up in the same country by a credit institution with headquarters in a third country should be counted as a single branch.

(6) Number of subsidiaries as defined in point (16) of Article 4(1) of CRR. Any subsidiary of a subsidiary undertaking shall be regarded as a subsidiary of the parent undertaking, which is at the head of those undertakings.

(7) Ratio of Common Equity Tier 1 capital as defined in Article 50 of CRR to the own funds as defined in point (118) of Article 4(1) and Article 72 of CRR, expressed in percentage (%).

(8) Ratio of Additional Tier 1 Capital as defined in Article 61 of CRR to the own funds as defined in point (118) of Article 4(1) and Article 72 of CRR, expressed in percentage (%).

(9) Ratio of Tier 2 Capital as defined in Article 71 of CRR to the own funds as defined in point (118) of Article 4(1) and Article 72 of CRR, expressed in percentage (%).

(10) The 8% of total risk exposure amount as defined in Articles 92(3), 95, 96 and 98 of CRR.

(11) The ratio of the own funds to the total risk exposure amount as defined in point (c) of Article 92(2) of CRR, expressed in percentage (%).

## Annex IV

Part 2					
Data on credit risk (year 2018)					
	Credit risk data		Reference to COREP template	data	
<b>Credit institutions: Own funds requirements for credit risk</b>					
010	Credit institutions: own funds requirements for credit risk	% of total own funds requirements <sup>(1)</sup>		CA2 (row 040) / (row 010) 84.98%	
020	Credit institutions: breakdown by approach	% based on the total number of credit institutions <sup>(2)</sup>	<b>Standardised Approach (SA)</b>	100.00%	
030			IRB approach when neither own estimates of Loss Given Default nor conversion factors are used	0.00%	
040		IRB approach when own estimates of Loss Given Default and/or conversion factors are used	0.00%		
050		SA	CA2 (row 050) / (row 040) 100.00%		
060		% based on total own funds requirements for credit risk	IRB approach when neither own estimates of Loss Given Default nor conversion factors are used	CR IRB, Foundation IRB (row 010, col 260) / CA2 (row 040) 0.00%	
070		IRB approach when own estimates of Loss Given Default and/or conversion factors are used	CR IRB, Advanced IRB (row 010, col 260) / CA2 (row 040) 0.00%		
080		Credit institutions: breakdown by IRB exposure class	% based on total IRB risk weighted exposure amount	IRB approach when neither own estimates of Loss Given Default nor conversion factors are used	CA2 (row 250 / row 240) 0.00%
090				Central governments and central banks	CA2 (row 260 / row 240) 0.00%
100				Institutions	CA2 (row 270 / row 240) 0.00%
110				Corporates - SME	CA2 (row 280 / row 240) 0.00%
120	Corporates - Specialised Lending			CA2 (row 290 / row 240) 0.00%	
130	Corporates - Other			CA2 (row 300 / row 240) 0.00%	
140	IRB approach when own estimates of Loss Given Default and/or conversion factors are used			CA2 (row 310 / row 240) 0.00%	
150	Central governments and central banks			CA2 (row 320 / row 240) 0.00%	
160	Institutions			CA2 (row 330 / row 240) 0.00%	
170	Corporates - SME			CA2 (row 340 / row 240) 0.00%	
180	Corporates - Specialised Lending			CA2 (row 350 / row 240) 0.00%	
190	Corporates - Other			CA2 (row 360 / row 240) 0.00%	
200	Retail - Secured by real estate SME			CA2 (row 370 / row 240) 0.00%	
210	Retail - Secured by real estate non-SME			CA2 (row 380 / row 240) 0.00%	
220	Retail - Qualifying revolving			CA2 (row 390 / row 240) 0.00%	
230	Retail - Other SME			CA2 (row 400 / row 240) 0.00%	
240	Retail - Other non-SME			CA2 (row 410 / row 240) 0.00%	
250	Equity IRB			CA2 (row 420 / row 240) 0.00%	
260	Securitisation positions IRB			CA2 (row 430 / row 240) 0.00%	
270	Other non credit-obligation assets			CA2 (row 450 / row 240) 0.00%	
<b>Credit risk data</b>					
<b>Credit institutions: Own funds requirements for credit risk</b>					
280	Credit institutions: breakdown by SA exposure class*	% based on total SA risk weighted exposure amount	Central governments or central banks	CA2 (row 070 / row 050) 0.35%	
290			Regional governments or local authorities	CA2 (row 080 / row 050) 0.00%	
300			Public sector entities	CA2 (row 090 / row 050) 0.08%	
310			Multilateral Development Banks	CA2 (row 100 / row 050) 0.00%	
320			International Organisations	CA2 (row 110 / row 050) 0.00%	
330			Institutions	CA2 (row 120 / row 050) 10.26%	
340			Corporates	CA2 (row 130 / row 050) 44.08%	
350			Retail	CA2 (row 140 / row 050) 6.14%	
360			Secured by mortgages on immovable property	CA2 (row 150 / row 050) 15.56%	
370			Exposures in default	CA2 (row 160 / row 050) 2.94%	
380			Items associated with particular high risk	CA2 (row 170 / row 050) 8.48%	
390			Covered bonds	CA2 (row 180 / row 050) 0.02%	
400			Claims on institutions and corporates with a short-term credit assessment	CA2 (row 190 / row 050) 0.04%	
410			Collective investment undertakings	CA2 (row 200 / row 050) 4.12%	
420			Equity	CA2 (row 210 / row 050) 1.67%	
430			Other items	CA2 (row 211 / row 050) 6.26%	
440			Securitisation positions SA	CA2 (row 220 / row 050) 0.00%	
450			Financial collateral simple method	22.22%	
460			Financial collateral comprehensive method	5.56%	
<b>Investment firms: Own funds requirements for credit risk</b>					
480	Investment firms: own funds requirements for credit risk	% of total own funds requirements <sup>(4)</sup>		CA2 (row 040) / (row 010) 50.89%	
490	Investment firms: breakdown by approach	% based on the total number of investment firms <sup>(2)</sup>	SA	100.00%	
500			IRB	0.00%	
510		% based on total own funds requirements for credit risk <sup>(5)</sup>	SA	(CA2 (row 050) / (row 040) 100.00%	
520	IRB	(CA2 (row 240) / row 040) 0.00%			
<b>Additional information on securitisation (in MEUR)</b>					
<b>Credit institutions: originator</b>					
530	Total amount of securitisation exposures originated on balance sheet and off-balance sheet		CR SEC SA (row 030, col 010) + CR SEC IRB (row 030, col 010)	0.00%	
540	Total amount of securitisation positions retained (securitisation positions - original exposure pre conversion factors) on balance sheet and off-balance sheet		CR SEC SA (row 030, col 050) + CR SEC IRB (row 030, col 050)	0.00%	

## Annex IV

Part 2			
Data on credit risk (year 2018)			

	Exposures and losses from lending collateralised by immovable property (MEUR) <sup>(6)</sup>	Reference to COREP template	data
550			
560	Use of residential property as collateral	<b>Sum of exposures secured by residential property</b> <sup>(7)</sup>	CR IP Losses (row 010, col 050) 874.3
570		<b>Sum of losses stemming from lending up to the reference percentages</b> <sup>(8)</sup>	CR IP Losses (row 010, col 010) 0.3
580		<b>Of which: immovable property valued with mortgage lending value</b> <sup>(9)</sup>	CR IP Losses (row 010, col 020) 0.0
590		<b>Sum of overall losses</b> <sup>(10)</sup>	CR IP Losses (row 010, col 030) 0.3
600		<b>Of which: immovable property valued with mortgage lending value</b> <sup>(9)</sup>	CR IP Losses (row 010, col 040) 0.0
610	Use of commercial immovable property as collateral	<b>Sum of exposures secured by immovable commercial property</b> <sup>(7)</sup>	CR IP Losses (row 020, col 050) 716.4
620		<b>Sum of losses stemming from lending up to the reference percentages</b> <sup>(8)</sup>	CR IP Losses (row 020, col 010) 17.6
630		<b>Of which: immovable property valued with mortgage lending value</b> <sup>(9)</sup>	CR IP Losses (row 020, col 020) 0.0
640		<b>Sum of overall losses</b> <sup>(10)</sup>	CR IP Losses (row 020, col 030) 17.6
		<b>Of which: immovable property valued with mortgage lending value</b> <sup>(9)</sup>	CR IP Losses (row 020, col 040) 0.0

(1) Ratio of the own fund requirements for credit risk as defined in points (a) and (f) of Article 92(3) of CRR to the total own funds as defined in Articles 92(3), 95, 96 and 98 of CRR.

(2) If an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported for the three approaches may be higher than 100%.

(3) In the exceptional cases, where an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported may be higher than 100%.

(4) Ratio of the own fund requirements for credit risk as defined in points (a) and (f) of Article 92(3) of CRR to the total own funds as defined in Articles 92(3), 95, 96 and 98 of CRR.

(5) The percentage of the own fund requirements of investment firms that apply the SA and IRB approach respectively in relation to the total own fund requirements for credit risk as defined in points (a) and (f) of Article 92(3) of CRR.

(6) The amount of the estimated losses shall be reported at the reporting reference date.

(7) As defined in points (c) and (f) of Article 101(1) of CRR, respectively; the market value and mortgage lending value according to points (74) and (76) of Article 4 (1); only for the part of exposure treated as fully and completely secured according to Article 124 (1) of CRR;

(8) As defined in points (a) and (d) of Article 101(1) of CRR, respectively; the market value and mortgage lending value according to points (74) and (76) of Article 4 (1).

(9) When the value of the collateral has been calculated as mortgage lending value.

(10) As defined in points (b) and (e) of Article 101(1) of CRR, respectively; the market value and mortgage lending value according to points (74) and (76) of Article 4 (1).

## Annex IV

<b>Part 3</b>
<b>Data on market risk <sup>(1)</sup> (year 2018)</b>

	Market risk data		Reference to COREP template	data	
	<b>Credit institutions: Own funds requirements for market risk</b>				
010	<b>Credit institutions: own funds requirements for market risk</b>	% of total own funds requirements <sup>(2)</sup>	CA2 (row 520) / (row 010)	5.06%	
020	<b>Credit institutions: breakdown by approach</b>	% based on the total number of credit institutions <sup>(3)</sup>	<b>Standardised approach</b>	100.00%	
030			<b>Internal models</b>	0.00%	
040		% based on total own funds requirements for market risk	<b>Standardised approach</b>	CA2 (row 530) / (row 520)	100.00%
050			<b>Internal models</b>	CA2 (row 580) / (row 520)	0.00%
	<b>Investment firms: Own funds requirements for market risk</b>				
060	<b>Investment firms: own funds requirements for market risk</b>	% of total own funds requirements <sup>(2)</sup>	CA2 (row 520) / (row 010)	18.56%	
070	<b>Investment firms: breakdown by approach</b>	% based on the total number of investment firms <sup>(3)</sup>	<b>Standardised approach</b>	100.00%	
080			<b>Internal models</b>	0.00%	
090		% based on total own funds requirements for market risk	<b>Standardised approach</b>	CA2 (row 530) / (row 520)	100.00%
100			<b>Internal models</b>	CA2 (row 580) / (row 520)	0.00%

(1) The template shall include information on all institutions and not only on those with market risk positions.

(2) Ratio of the total risk exposure amount for position, foreign exchange and commodities risks as defined in point (i) of point (b), points (i) and (iii) of point (c) of Articles 92(3) of CRR and point (b) of Article 92(4) of CRR to the total risk exposure amount as defined in Articles 92(3), 95, 96 and 98 of CRR (in %).

(3) If an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported may be higher than 100%, but also lower than 100% as entities with small trading portfolio are not obliged to determine market risk.

## Annex IV

Part 4				
Data on operational risk (year 2018)				
	Operational risk data		Reference to COREP template	data
<b>Credit institutions: Own funds requirements for operational risk</b>				
010	Credit institutions: own funds requirements for operational risk	% of total own funds requirements <sup>(1)</sup>		CA2 (row 590) / (row 010) 9.97%
020	Credit institutions: breakdown by approach	% based on the total number of credit institutions <sup>(2)</sup>	Basic Indicator Approach (BIA)	100.00%
030			Standardised Approach (TSA) / Alternative Standardised Approach (ASA)	0.00%
040			Advanced Measurement Approach (AMA)	0.00%
050		% based on total own funds requirements for operational risk	BIA	CA2 (row 600) / (row 590) 100.00%
060			TSA/ASA	CA2 (row 610) / (row 590) 0.00%
070	AMA		CA2 (row 620) / (row 590) 0.00%	
<b>Credit institutions: Losses due to operational risk</b>				
080	Credit institutions: total gross loss	Total gross loss as % of total gross income <sup>(3)</sup>		OPR Details (row 920, col 080) / OPR ((sum (row 010 to row 130), col 030)) 0.00%
<b>Investment firms: Own funds requirements for operational risk</b>				
090	Investment firms: own funds requirements for operational risk	% of total own funds requirements <sup>(1)</sup>		CA2 (row 590) / (row 010) 14.78%
100	Investment firms: breakdown by approach	% based on the total number of investment firms <sup>(2)</sup>	BIA	100.00%
110			TSA/ASA	0.00%
120			AMA	0.00%
130		% based on total own funds requirements for operational risk	BIA	CA2 (row 600) / (row 590) 100.00%
140			TSA/ASA	CA2 (row 610) / (row 590) 0.00%
150	AMA		CA2 (row 620) / (row 590) 0.00%	
<b>Investment firms: Losses due to operational risk</b>				
160	Investment firms: total gross loss	Total gross loss as % of total gross income <sup>(3)</sup>		OPR Details (row 920, col 080) / OPR ((sum (row 010 to row 130), col 030)) N/A

(1) Ratio of the total risk exposure amount for operational risk as defined in Article 92(3) of CRR to the total risk exposure amount as defined in Articles 92(3), 95, 96 and 98 of CRR (in %).

(2) If an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported may be higher than 100%, but also lower than 100% as some investment firms are not obliged to count operational risk capital charges.

(3) Only with respect to entities, which use AMA or TSA/ASA approach; ratio of the total loss amount for all business lines to the sum of the relevant indicator for banking activities subject to TSA/ASA and AMA for the last year (in %).

## Annex IV

**Part 5**  
**Data on supervisory measures and administrative penalties <sup>(1)</sup> (year 2018)**

<b>Supervisory measures</b>			<b>data</b>
<b>Credit institutions</b>			
010	Supervisory measures taken in accordance with Article 102(1)(a)	Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU:	1.00
011		to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	0.00
012		to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	0.00
013		to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	0.00
014		to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)]	0.00
015		to restrict/limit business or activities [Article 104(1)(e)]	0.00
016		to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)]	0.00
017		to limit variable remuneration [Article 104(1)(g)]	0.00
018		to strengthen own funds by using net profits [Article 104(1)(h)]	0.00
019		to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	1.00
020		to impose additional or more frequent reporting requirements [Article 104(1)(j)]	0.00
021		to impose specific liquidity requirements [Article 104(1)(k)]	0.00
022		to impose additional disclosure requirements [Article 104(1)(l)]	0.00
023		Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive 2013/36/EU)	0.00
024	Supervisory measures taken in accordance with Article 102(1)(b) and other provisions of Directive 2013/36/EU or Regulation (EU) No 575/2013	Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU:	0.00
025		to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	0.00
026		to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	0.00
027		to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	0.00
028		to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)]	0.00
029		to restrict/limit business or activities [Article 104(1)(e)]	0.00
030		to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)]	0.00
031		to limit variable remuneration [Article 104(1)(g)]	0.00
032		to strengthen own funds by using net profits [Article 104(1)(h)]	0.00
033		to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	0.00
034		to impose additional or more frequent reporting requirements [Article 104(1)(j)]	0.00
035		to impose specific liquidity requirements [Article 104(1)(k)]	0.00
036		to impose additional disclosure requirements [Article 104(1)(l)]	0.00
037		Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive 2013/36/EU)	0.00

<b>Supervisory measures</b>			<b>data</b>
<b>Investment firms</b>			
037	Supervisory measures taken in accordance with Article 102(1)(a)	Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU:	8.00
038		to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	0.00
039		to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	2.00
040		to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	6.00
041		to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)]	0.00
042		to restrict/limit business or activities [Article 104(1)(e)]	0.00
043		to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)]	0.00
044		to limit variable remuneration [Article 104(1)(g)]	0.00
045		to strengthen own funds by using net profits [Article 104(1)(h)]	0.00
046		to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	0.00
047		to impose additional or more frequent reporting requirements [Article 104(1)(j)]	0.00
048		to impose specific liquidity requirements [Article 104(1)(k)]	0.00
049		to impose additional disclosure requirements [Article 104(1)(l)]	0.00
050		Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive 2013/36/EU)	0.00
051	Supervisory measures taken in accordance with Article 102(1)(b) and other provisions of Directive 2013/36/EU or Regulation (EU) No 575/2013	Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU:	4.00
052		to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	0.00
053		to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	0.00
054		to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	3.00
055		to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)]	0.00
056		to restrict/limit business or activities [Article 104(1)(e)]	1.00
057		to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)]	0.00
058		to limit variable remuneration [Article 104(1)(g)]	0.00
059		to strengthen own funds by using net profits [Article 104(1)(h)]	0.00
060		to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	0.00
061		to impose additional or more frequent reporting requirements [Article 104(1)(j)]	0.00
062		to impose specific liquidity requirements [Article 104(1)(k)]	0.00
063		to impose additional disclosure requirements [Article 104(1)(l)]	0.00
064		Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive 2013/36/EU)	0.00

## Annex IV

Part 5			
Data on supervisory measures and administrative penalties <sup>(1)</sup> (year 2018)			
Administrative penalties <sup>(2)</sup>			data
<b>Credit institutions</b>			
065	Administrative penalties (for breaches of authorisation/acquisitions of qualifying holding requirements)	Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied:	0.00
066		public statements identifying the natural/legal person responsible and the nature of the breach [Article 66(2)(a)]	0.00
067		orders requiring the natural/legal person responsible to cease the conduct and to desist from a repetition of that conduct [Article 66(2)(b)]	0.00
068		administrative pecuniary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)]	0.00
069		suspensions of the voting rights of shareholders [Article 66 (2)(f)]	0.00
070		Number and nature of other administrative penalties applied (not specified in Article 66(2) of Directive 2013/36/EU)	0.00
071		Total number of administrative penalties from Article 67(2) of Directive 2013/36/EU applied:	2.00
072	public statements identifying the natural/legal person responsible and the nature of the breach [Article 67(2)(a)]	0.00	
073	orders requiring the natural/legal person responsible to cease the conduct and to desist from a repetition of that conduct [Article 67(2)(b)]	0.00	
074	withdrawals of authorisation of credit institution [Article 67(2)(c)]	2.00	
075	temporary bans against natural person from exercising functions in credit institutions [Article 67(2)(d)]	0.00	
076	administrative pecuniary penalties imposed on legal/natural person [points (e) to (g) of Article 67(2)]	0.00	
077	Number and nature of other administrative penalties applied (not specified in Article 67(2) of Directive 2013/36/EU)	0.00	
<b>Investment firms</b>			
078	Administrative penalties (for breaches of authorisation/acquisitions of qualifying holding requirements)	Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied:	0.00
079		public statements identifying the natural/legal person responsible and the nature of the breach [Article 66(2)(a)]	0.00
080		orders requiring the natural/legal person responsible to cease the conduct and to desist from a repetition of that conduct [Article 66(2)(b)]	0.00
081		administrative pecuniary penalties imposed on a legal person [points (c) to (e) of Article 66(2)]	0.00
082		suspensions of the voting rights of shareholders [Article 66 (2)(f)]	0.00
083		Number and nature of other administrative penalties applied (not specified in Article 66(2) of Directive 2013/36/EU)	0.00
084		Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied:	2.00
085	public statements identifying the natural/legal person responsible and the nature of the breach [Article 67(2)(a)]	0.00	
086	orders requiring the natural/legal person responsible to cease the conduct and to desist from a repetition of that conduct [Article 67(2)(b)]	0.00	
087	withdrawals of authorisation of investment firms [Article 67(2)(c)]	1.00	
088	temporary bans against natural person from exercising functions in investment firms [Article 67(2)(d)]	0.00	
089	administrative pecuniary penalties imposed on legal/natural person [points (e) to (g) of Article 67(2)]	0.00	
090	Number and nature of other administrative penalties applied (not specified in Article 67(2) of Directive 2013/36/EU)	1.00 - Investment Firm carrying out other licensable activities without applying/holding the proper licence.	

Competent authorities shall not disclose supervisory actions or decisions directed at specific institutions. When publishing information on the general criteria and methodologies, competent authorities shall not disclose any supervisory measures directed at specific institutions, whether taken with respect to a single institution or to a group of institutions.

(1) Information shall be reported based on the date of decision.

Due to differences in national regulations as well as in supervisory practices and approaches across the competent authorities the figures provided in this table might not allow for a meaningful comparison between jurisdictions. Any conclusions without carefully considering these differences can be misleading.

(2) The administrative penalties imposed by competent authorities. Competent authorities shall report all administrative penalties against which there is no appeal available in their jurisdiction by the reference date of the disclosure. Competent authorities of Member States where it is permitted to publish administrative penalties subject to an appeal, shall also report those administrative penalties unless the appeal annulling the administrative penalty is issued.



## Annex IV

<b>Part 6</b> <b>Data on waivers<sup>(1)</sup> (year 2018)</b>
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<b>Exemption from the application on an individual basis of prudential requirements set out in Parts Two to Five, Seven and Eight of Regulation (EU) No 575/2013</b>			
	<b>Legal reference in Regulation (EU) N° 575/2013</b>	<b>Article 7(1) and (2) (waivers for subsidiaries)<sup>(2)</sup></b>	<b>Article 7(3) (waivers for parent institutions)</b>
010	Total number of waivers granted	0	0
011	Number of waivers granted to parent institutions which have or hold participations in subsidiaries established in third countries	N/A	0
012	Total amount of consolidated own funds held in the subsidiaries established in third countries (in MEUR)	N/A	0
013	Percentage of the total consolidated own funds held in subsidiaries established in third countries (%)	N/A	0
014	Percentage of the consolidated own funds requirements allocated to subsidiaries established in third countries (%)	N/A	0
<b>Permission granted to parent institutions to incorporate subsidiaries in the calculation of their prudential requirements set out in Parts Two to Five and Eight of Regulation (EU) N° 575/2013</b>			
	<b>Legal reference in Regulation (EU) N° 575/2013</b>	<b>Article 9(1) (Individual consolidation method)</b>	
015	Total number of permissions granted	1	
016	Number of permissions granted to parent institutions to incorporate subsidiaries established in third countries in the calculation of their requirement	0	
017	Total amount of consolidated own funds held in the subsidiaries established in third countries (in MEUR)	0	
018	Percentage of the total consolidated own funds held in subsidiaries established in third countries (%)	0	
019	Percentage of the consolidated own funds requirements allocated to subsidiaries established in third countries (%)	0	
<b>Exemption from the application on an individual basis of liquidity requirements set out in Part Six of Regulation (EU) N° 575/2013</b>			
	<b>Legal reference in Regulation (EU) N° 575/2013</b>	<b>Article 8 (Liquidity waivers for subsidiaries)</b>	
020	Total number of waivers granted	0	

## Annex IV

021	Number of waivers granted pursuant to Article 8(2) where all institutions within a single liquidity sub-group are authorised in the same Member State	0
022	Number of waivers granted pursuant to Article 8(1) where all institutions within a single liquidity sub-group are authorised in several Member States	0
023	Number of waivers granted pursuant to Article 8(3) to institutions which are members of the same Institutional Protection Scheme	0
<b>Exemption from the application on an individual basis of prudential requirements set out in Parts Two to Eight of Regulation (EU) No 575/2013</b>		
	<b>Legal reference in Regulation (EU) No 575/2013</b>	<b>Article 10 (Credit institutions permanently affiliated to a central body)</b>
024	Total number of waivers granted	0
025	Number of waivers granted to credit institutions permanently affiliated to a central body	0
026	Number of waivers granted to central bodies	0

(1) Competent authorities shall report information on waiver practices based on the total number of waivers by the competent authority, which are still effective or in force. The information to be reported is limited to those entities granted a waiver. Where the information is not available, i.e. not part of the regular reporting, it shall be reported as "N/A".

(2) The number of institutions which have been granted the waiver shall be used as a basis for counting the waivers.