

**CONDUCT RELATED DATA RETURN FOR INVESTMENT SERVICES LICENCE HOLDERS  
TIMETABLE FOR SUBMISSION: YEAR 2021**

<b>Q4 2020: Data covering October – December 2020</b>			
<b>CATEGORY</b>	<b>SUBMISSION</b>	<b>REPORTING FREQUENCY</b>	<b>REPORTING DEADLINE</b>
Cat. 1	Conduct-related data List of Financial Instruments (covering July – December 2020)	Quarterly Biannual	12/02/2021
Cat. 2 and Cat. 3	Conduct-related data included in the COREP return List of Financial Instruments (covering July – December 2020)	Quarterly Biannual	12/02/2021
Credit Institution <sup>1</sup>	Conduct-related data List of Financial Instruments (covering July – December 2020)	Quarterly Biannual	12/02/2021
European Investment Firms establishing a branch in Malta	Conduct-related data List of Financial Instruments (covering July – December 2020)	Quarterly Biannual	12/02/2021
<b>Q1 2021: Data covering January – March 2021</b>			
<b>CATEGORY</b>	<b>SUBMISSION</b>	<b>REPORTING FREQUENCY</b>	<b>REPORTING DEADLINE</b>
Cat. 1	Conduct-related data	Quarterly	13/05/2021
Cat. 2 and Cat. 3	Conduct-related data included in the COREP return	Quarterly	13/05/2021
Credit Institution <sup>1</sup>	Conduct-related data	Quarterly	13/05/2021
European Investment Firms establishing a branch in Malta	Conduct-related data included in the COREP return	Quarterly	13/05/2021
<b>Q2 2021: Data covering April – June 2021</b>			
<b>CATEGORY</b>	<b>SUBMISSION</b>	<b>REPORTING FREQUENCY</b>	<b>REPORTING DEADLINE</b>
Cat. 1	Conduct-related data List of Financial Instruments (covering January – June 2021)	Quarterly Biannual	12/08/2021
Cat. 2 and Cat. 3	Conduct-related data included in the COREP return List of Financial Instruments (covering January – June 2021)	Quarterly Biannual	12/08/2021
Credit Institution <sup>1</sup>	Conduct-related data List of Financial Instruments (covering January – June 2021)	Quarterly Biannual	12/08/2021
European Investment Firms establishing a branch in Malta	Conduct-related data List of Financial Instruments (covering January – June 2021)	Quarterly Biannual	12/08/2021
<b>Q3 2021: Data covering July - September 2021</b>			
<b>CATEGORY</b>	<b>SUBMISSION</b>	<b>REPORTING FREQUENCY</b>	<b>REPORTING DEADLINE</b>
Cat. 1	Conduct-related data	Quarterly	12/11/2021
Cat. 2 and Cat. 3	Conduct-related data included in the COREP return	Quarterly	12/11/2021
Credit Institution <sup>1</sup>	Conduct-related data	Quarterly	12/11/2021
European Investment Firms establishing a branch in Malta	Conduct-related data	Quarterly	12/11/2021

<sup>1</sup> Also licensed under the Investment Services Act, 1994.