

Annex II - Applicable Provisions for European Intermediaries Providing Services in Malta through the freedom of Services in terms of Article 4 of the Insurance Distribution Directive

Applicable Provisions for European Intermediaries Providing Services in Malta through the freedom of Services in terms of Article 4 of the Insurance Distribution Directive

General Good Provisions which apply to a European Intermediary providing services in Malta through the freedom of services in terms of Article 4 of the Insurance Distribution Directive.

| Conduct of Business Rulebook | | |
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| Chapter 1 – Disclosures | | |
| Section 1 | Medium of Disclosure | R.1.1.2 to R.1.1.4 R.1.1.10 to R.1.1.16 |
| Section 2 | Marketing Rules | R.1.2.8 to R.1.2.11 R.1.2.12 to R.1.2.42 R.1.2.43 to R.1.2.45 R.1.2.48 to R.1.2.52 R.1.2.64 to R.1.2.67 |
| Section 3 | Disclosure of Information Requirements | R.1.3.7 to R.1.3.9 R.1.3.23 |
| Section 4 | Disclosures on Services and Products Provided by the European Intermediary | R.1.4.8 and R.1.4.9 R.1.4.12 to R.1.4.15 R.1.4.17, R.1.4.19 |

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| | | R.1.4.21 to 1.4.26 |
| Section 5 | Disclosures on Conflicts of Interest (for European Insurance Intermediaries distributing Insurance Based Investment Products in Malta). | R.1.5.10 |
| <u>Conduct of Business Rulebook</u> | | |
| Chapter 4 – Sales Processes and Selling Practices | | |
| Section 1 | General Principles | R.4.1.5 and R.4.1.6 R.4.1.8 to R.4.1.12 R.4.1.14 to R.4.1.23 R.4.1.24 R.4.1.48 |
| Section 4 | Assessment of Suitability and Appropriateness | R.4.4.109 to 4.4.120 |
| Section 5 | Contractual Arrangements with Clients | R.4.5.7 and R.4.5.9 |
| <u>Insurance Distribution Rules</u> | | |
| Chapter 11 | Provisions applicable to specific Insurance and Re-Insurance Intermediaries | Paragraphs 11.3.2 and 11.4.6 |