MALTA FINANCIAL SERVICES AUTHORITY

Annex II- Applicable Provisions for European Insurance Undertakings providing services in Malta through the freedom of services in terms of regulation 4 of the European Passport Rights for Insurance and Reinsurance Undertakings Regulations, 2015.

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General Good Provisions which a European Insurance undertaking is required to abide by when providing services in Malta through the freedom of services in terms of regulation 4 of the European Passport Rights for Insurance and Reinsurance Undertakings Regulations, 2015.

<u>Conduct of Business Rulebook</u> Chapter 1 – Disclosures			
Section 1	Medium of Disclosure	R.1.1.2 to R.1.1.4 R.1.1.10 to R.1.1.16	
Section 2	Marketing Rules	R.1.2.8 to R.1.2.11 R.1.2.12 to R.1.2.42 R.1.2.43 to R.1.2.45 R.1.2.48 to R.1.2.52	

This document includes the Applicable Provisions for European Insurance Undertakings providing services in Malta through the freedom of services in terms of regulation 4 of the European Passport Rights for Insurance and Reinsurance Undertakings Regulations, 2015.

		R.1.2.64 to 1.2.67
Section 3	Disclosure of Information Requirements	R.1.3.7 to R.1.3.9 R.1.3.23
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Section 5	Disclosures on Conflicts of Interest (for European Insurance Intermediaries distributing Insurance Based Investment Products in Malta).	R.1.5.10
<u>Conduct of Business Rulebook</u> Chapter 4 – Sales Processes and Selling Practices		
Section 1	General Principles	R.4.1.5 and R.4.1.6 R.4.1.8 to R.4.1.12 R.4.1.14 to R.4.1.23 R.4.1.24 R.4.1.48
Section 4	Assessment of Suitability and Appropriateness	R.4.4.109 to 4.4.120
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