

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

Self-Assessment Questionnaires for Fund Managers and Self-Managed Collective Investment Schemes

Reference is made to the Alternative Investment Fund Managers Directive (AIFMD) which came into force with effect from 22nd July 2013 and to the Circular issued by the MFSA on 10th May 2013 regarding the two Self-Assessment Questionnaire Forms applicable for existing fund managers and self-managed schemes ('Licence Holders') licensed in terms of the Investment Services Act, 1994.

The Authority would like to notify Licence Holders that the Self-Assessment Questionnaire Form which is to be used by Licence Holders for authorisation to act as AIFM has been updated and certain sections have been clarified further. An updated copy of the Form is available for download from the MFSA website.

The Authority would also like to remind Licence Holders of the importance that the Self-Assessment Questionnaire Forms are submitted to the Authority at the very earliest given the forthcoming deadlines of the AIFMD. Licence Holders are, in this regard, strongly encouraged to start submitting the relevant Self-Assessment Questionnaire Forms as early as possible so that the relevant forms are submitted during the next three months to avoid an influx of Forms all around, or on the deadline of the 31st March 2014. The Forms should in any case not be submitted by later than 31st March 2014. Licence Holders are required to ensure that the Self-Assessment Questionnaire Forms are completed comprehensively and thoroughly checked prior to submission.

Contacts

Any queries in relation to the Self-Assessment Questionnaire Forms should be directed to the Authorisation Unit on 21441155 or au@mfsa.com.mt.

**Communications Unit
Malta Financial Services Authority**