

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

Circular to the financial services industry on changes to the Investment Services Rules for Investment Services Providers

The MFSA has today published a revised version of [Annex 5 – Information on AIFs Managed by The AIFM to Appendix 13 to Part B III of the Investment Services Rules for Investment Services Providers](#). The purpose of this amendment is for the Authority to collate the necessary information required in terms of Articles 3(3) and 24(3) of the Alternative Investment Fund Managers Directive.

The changes to Annex 5 of Appendix 13 to the Rules have been made to include further details on the AIFs managed both by *de minimis* as well as full scope AIFMs. The changes include *inter alia*:

1. Deletion of the columns ‘AIF Type’, ‘NAV’ and ‘EU AIF’; and
2. The inclusion of the columns entitled ‘AIF Management Status’, ‘Assets Under Management’, ‘AIF Domicile’ and ‘Jurisdiction(s) in which AIF is Marketed’. AIFMs shall provide information on the type of management and/ or marketing activity of the AIF in the ‘AIF Management Status’ column by selecting the applicable status from the drop down menu. If an AIF managed by the AIFM is marketed in jurisdiction(s) other than Malta, the name(s) of the jurisdiction shall be disclosed in the ‘AIF Jurisdiction(s) of Marketing’ column as applicable.

Please note that the relevant instructions to fill in the form are provided in the **Important Information Sheet** of the same Annex 5. The information is mandatory for full scope AIFMs as well as *de minimis* AIFMs. In this regard, full scope AIFMs are required to submit Annex 5 on a quarterly basis (i.e. as at 31 March, 30 June, 30 September and 31 December) while *de minimis* AIFMs are required to submit Annex 5 on a half-yearly basis (i.e. as at 30 June and 31 December). All AIFMs are to submit Annex 5 within one month from the end of the reporting period concerned.

All AIFMs (including *de minimis* and full scope AIFMs) are being requested to fill in the first Annex 5 with details as at 30 June 2015 and submit it electronically to the MFSA on aifmdreporting@mfsa.com.mt by 18 August 2015 (no hard copy versions are required).

Contacts

Should you have any queries regarding the above, please do not hesitate to contact: Mr Ian Meli – Analyst, Securities and Markets Supervision Unit (imeli@mfsa.com.mt)

**Communications Unit
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