

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

INFORMATION NOTE

Financial Markets Act - Designated Financial Instruments Regulations, 2012 (L.N. 380 of 2012)

The above captioned Legal Notice was published in the Government Gazette of the 6th November, 2012 and is consequently in force.

The Legal Notice identifies which categories or classes of financial instruments should be considered as “*designated financial instruments*” for the purposes of Part IV of the Financial Markets Act, whether listed or unlisted, the register of which is maintained in a central securities depository or in an overseas central securities depository, as follows:

- (a) shares in companies and other securities equivalent to shares in companies, partnerships or other entities, and depository receipts in respect of shares;
- (b) bonds or other forms of securitised debt, including depository receipts in respect of such securities and including government stocks;
- (c) treasury bills;
- (d) certificates of deposits; and
- (e) units in collective investment schemes.

The Legal Notice revokes L.N. 140 of 2009, Designated Financial Instruments Regulations, 2009.

The Legal notice is available for download through the following link:

<http://justiceservices.gov.mt/DownloadDocument.aspx?app=lp&itemid=24020&l=1>

This information note is for general information purposes only and does not have any legal force whatsoever. Any person wishing to look into the exact position at law should consult the relevant legislation. Should you have any queries with respect to the above, please do not hesitate to contact Mr Christopher P. Buttigieg (cbuttigieg@mfsa.com.mt) or Mr Edward Grech (egrech@mfsa.com.mt)

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