

TABLE OF CONTENTS

Part A: The Application Process

1. Investment Services Act, 1994
 - 1.1 Regulation of Alternative Investment Funds
 - 1.2 Definition of a Collective Investment Scheme
 - 1.3 Definition of an Alternative Investment Fund
 2. Requirement for a Collective Investment Scheme Licence for Alternative Investment Funds
 - 2.1 General Information
 - 2.2 Licenceable activities for self-managed AIFs
 3. Criteria which MFSA will apply in considering an application for a Licence
 4. Standard Licence Conditions
 5. Listing on the Malta Stock Exchange
 6. Applications for an AIF Licence
 - 6.1 The Application Process
 - 6.2 Application Documents
 - 6.3 Licencing of additional sub-funds of an existing AIF
 - 6.4 Applications for the approval of additional classes of shares of an existing AIF
 7. Marketing of AIFs
 - 7.1 Notification Procedure
 - 7.2 Notification Requirements
 8. Fees
- Schedule 1: Application for a Licence to operate as an AIF
Schedule 2: Personal Questionnaire
Schedule 3: Competency Form

Part B: Standard Licence Conditions applicable to AIFs

- Appendix 1 Supplementary Licence Conditions applicable to AIFs adopting different structure
- Appendix 2 Distribution of Income
- Appendix 3 Contents of the Constitutional Documents
- Appendix 4 Contents of the Offering Documents
- Appendix 5 Results of Stress Tests – Risk Management Function
- Appendix 6 Results of Stress Tests – Liquidity Management Function
- Appendix 7 Remuneration Policy
- Appendix 8 Transparency Requirements
- Annex I AIF Specific Information pursuant to Article 24(1) AIFMD
- Annex II AIF Specific Information to be made available to the Competent Authority pursuant to Article 24(2) AIFMD
- Annex III AIF Specific Information to be made available to the Competent Authority pursuant to Article 24(4) AIFMD