

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

FINANCIAL MARKET RULES

FOR

REGULATED MARKETS

Issued: 1st November, 2007

FINANCIAL MARKET RULES FOR REGULATED MARKETS

INTRODUCTION

These Financial Market Rules which come into force on the 1st November, 2007 and which replace the Notification Directives applicable to Recognised Investment Exchanges, are issued by the Malta Financial Services Authority (the “Authority”) in accordance with the provisions of article 5 of the Financial Markets Act, Cap 345 (the “Act”). Their purpose is to ensure that due notice of events and specified information is provided to the Authority for the proper fulfilment of its regulatory functions prescribed in the Act in relation to Regulated Markets. These Financial Market Rules also transpose certain provisions of the Markets in Financial Instruments Directive.

These Rules are divided into two Parts as follows:

Part I - General

This Part includes for general provisions regarding the notifications to be made by a Regulated Market to the Authority specifying *inter alia* the form, method and timing of notifications.

Part II - Notification Requirements

This Part includes the details and necessary information which should be notified by a Regulated Market to the Competent Authority.

Finally, a number of new appendices have been introduced to complement the Financial Market Rules.