

Notice to Financial Services Licence Holders International Sanctions

The MFSA brings to the attention of all Financial Services Licence Holders the coming into effect of restrictive measures by virtue of Regulations which have been issued during the period **1st January to 30th September 2017**, by the European Union (“EU”) in respect of various regimes as shown hereunder.

Regulations issued by the EU have direct applicability under Maltese Law and require no implementation measures. The full text can be viewed at the website of the Official Journal of the EU - <http://eur-lex.europa.eu/>, or on the MFSA website - www.mfsa.com.mt - at “International Sanctions”.

Additionally, restrictive measures have also been issued by the United Nations Security Council (“UNSC or the Security Council”), in particular UNSC Resolutions, as shown hereunder. In Malta, UNSC Resolutions are implemented and made enforceable by means of Regulations made by the Prime Minister under the National Interest (Enabling Powers) Act, Chapter 365 of the Laws of Malta. The full text of these Resolutions can be viewed at the website of the UNSC - <http://www.un.org/en/sc/>, or on the MFSA website - www.mfsa.com.mt - at “International Sanctions”.

1. AFGHANISTAN

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/404 of 7 March 2017 implementing Article 11\(4\) of Regulation \(EU\) No 753/2011 concerning restrictive measures directed against certain individuals, groups, undertakings and entities in view of the situation in Afghanistan](#)

This Regulation amends Annex I to **Regulation (EU) No 753/2011** by updating the information relating to the persons and entities subject to restrictive measures, and removing one individual from the list of individuals, groups, undertakings and entities subject to restrictive measures.

2. AL-QAIDA

Various Regulations amending **COUNCIL REGULATION (EC) No 881/2002 of 27 May 2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL (Da’esh) and Al-Qaida organisations** have been issued amending the list of individuals and entities subject to the freezing of assets as listed hereunder. One Resolution has also been adopted at the international level by the UNSC.

Regulations amending Council Regulation (EC) No 881/2002

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1773 of 28 September 2017 amending for the 278th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1571 of 15 September 2017 amending for the 277th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1516 of 31 August 2017 amending for the 276th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1500 of 23 August 2017 amending for the 275th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1488 of 18 August 2017 amending for the 274th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1411 of 2 August 2017 amending for the 273rd time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[Corrigendum to Commission Implementing Regulation \(EU\) 2017/1390 of 26 July 2017 amending for the 272nd time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1390 of 26 July 2017 amending for the 272nd time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1251 of 11 July 2017 amending for the 271st time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1235 of 6 July 2017 amending for the 270th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1094 of 20 June 2017 amending for the 269th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/998 of 12 June 2017 amending for the 268th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/778 of 4 May 2017 amending for the 267th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/700 of 18 April 2017 amending for the 266th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/637 of 4 April 2017 amending for the 265th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/630 of 3 April 2017 amending for the 264th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/557 of 24 March 2017 amending for the 263rd time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/494 of 21 March 2017 amending for the 262nd time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[*Corrigendum to Commission Implementing Regulation \(EU\) 2017/326 of 24 February 2017 amending for the 261st time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations*](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/326 of 24 February 2017 amending for the 261st time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/296 of 20 February 2017 amending for the 260th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/221 of 8 February 2017 amending for the 259th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/142 of 26 January 2017 amending for the 258th time Council Regulation \(EC\) No 881/2002 imposing certain specific restrictive measures directed against certain persons and entities associated with the ISIL \(Da'esh\) and Al-Qaida organisations](#)

UNSC Resolutions

[S/RES/2368 \(2017\) – Adopted by the Security Council at its 8007th meeting, on 20 July 2017](#)

This Resolution builds on previous Resolutions adopted by the UNSC, in that it reaffirms the asset freeze, travel ban and arms embargo applicable to ISIL, Al-Qaida, and associated individuals, groups, undertakings and entities. The Resolution also specifies the listing criteria for an individual, group, undertaking or entity to be included in the ISIL (Da'esh) and Al-Qaida Sanctions List. In addition, the names specified in Annex III of the Resolution shall be added to the said List. In implementing the aforementioned measures (asset freeze, travel ban and arms embargo), the Security Council calls upon Member States to share information and cooperate with each other to more effectively counter the terrorist financing threat posed by ISIL, Al-Qaida, and associated individuals, groups, undertakings and entities.

3. BELARUS

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/992 of 12 June 2017 amending Implementing Regulation \(EU\) 2016/2148 as regards the deletion of references to the Republic of Belarus](#)

This Regulation amends Annex I to *Implementing Regulation (EU) 2016/2148* by deleting the table concerning the Republic of Belarus contained in the said Annex.

[COUNCIL REGULATION \(EU\) 2017/331 of 27 February 2017 amending Regulation \(EC\) No 765/2006 concerning restrictive measures in respect of Belarus](#)

This Regulation amends **Regulation (EU) No 765/2006** by amending Article 1a and 1b of the same Regulation and adding Annex IV.

4. BOSNIA AND HERZEGOVINA

[COUNCIL DECISION \(CFSP\) 2017/607 of 29 March 2017 amending Decision 2011/173/CFSP concerning restrictive measures in view of the situation in Bosnia and Herzegovina](#)

This Decision amends **Decision 2011/173/CFSP** by renewing the restrictive measures until 31 March 2018.

5. BURMA/MYANMAR

[COUNCIL DECISION \(CFSP\) 2017/734 of 25 April 2017 amending Decision 2013/184/CFSP concerning restrictive measures against Myanmar/Burma](#)

This Decision amends **Decision 2013/184/CFSP** by renewing the restrictive measures until 30th April 2018.

6. CENTRAL AFRICAN REPUBLIC

EU Regulations

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1090 of 20 June 2017 implementing Article 17\(1\) of Regulation \(EU\) No 224/2014 concerning restrictive measures in view of the situation in the Central African Republic](#)

This Regulation amends Annex I to **Regulation (EU) No 224/2014** by replacing the information in respect of one person subject to restrictive measures.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/906 of 29 May 2017 implementing Article 17\(3\) of Regulation \(EU\) No 224/2014 concerning restrictive measures in view of the situation in the Central African Republic](#)

This Regulation amends Annex I to **Regulation (EU) No 224/2014** by updating the information relating to ten persons and two entities subject to restrictive measures.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/890 of 24 May 2017 implementing Article 17\(1\) of Regulation \(EU\) No 224/2014 concerning restrictive measures in view of the situation in the Central African Republic](#)

This Regulation amends Annex I to **Regulation (EU) No 224/2014** by adding one person to the list of persons and entities subject to restrictive measures.

[COUNCIL REGULATION \(EU\) 2017/400 of 7 March 2017 amending Regulation \(EU\) No 224/2014 concerning restrictive measures in view of the situation in the Central African Republic](#)

This Regulation amends **Regulation (EU) No 224/2014** with a view to implementing the measures contained in Resolution 2339 (2017) adopted by the United Nations Security Council on 27 January 2017.

UNSC Resolutions

[Resolution 2339 \(2017\) adopted by the Security Council at its 7872nd meeting, on 27 January 2017](#)

This Resolution, *inter alia*, extends the arms embargo, travel ban and asset freeze until 31 January 2018. In addition, it extends the designation criteria to cover other groups of individuals and entities designated by the Committee¹ as being subject to the travel ban and asset freeze. The Resolution also encourages all States to actively implement the measures contained in such Resolution and to report to the Committee on a regular basis on the actions that have been taken to implement the measures imposed by Paragraphs 1, 2, 5 and 12 of the same Resolution (these Paragraphs relate to, *inter alia*, the arms embargo, travel ban and asset freeze).

7. CRIMEA AND SEVASTOPOL

[COUNCIL DECISION \(CFSP\) 2017/1087 of 19 June 2017 amending Decision 2014/386/CFSP concerning restrictive measures in response to the illegal annexation of Crimea and Sevastopol](#)

This Decision amends **Decision 2014/386/CFSP** by renewing the restrictive measures until 23 June 2018.

8. DEMOCRATIC REPUBLIC OF THE CONGO

EU Regulations

[COUNCIL REGULATION \(EU\) 2017/1326 of 17 July 2017 amending Regulation \(EC\) No 1183/2005 imposing certain specific restrictive measures directed against persons acting in violation of the arms embargo with regard to the Democratic Republic of the Congo](#)

This Regulation amends **Regulation (EC) No 1183/2005** to reflect the amendment in the criteria for the designation of persons and entities to be subject to the restrictive measures set out in paragraphs 9 and 11 of UNSC Resolution 1807 (2008). The aforementioned criteria was amended following the adoption of Resolution 2360 (2017) on 21st June 2017, by the UNSC Committee for the Democratic Republic of Congo.

¹ The Central African Republic Sanctions Committee

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/904 of 29 May 2017 implementing Article 9\(2\) of Regulation \(EC\) No 1183/2005 imposing certain specific restrictive measures directed against persons acting in violation of the arms embargo with regard to the Democratic Republic of the Congo](#)

This Regulation amends Annex Ia to **Regulation (EC) No 1183/2005** by adding nine persons to the list of persons and entities subject to the restrictive measures in the same Annex.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/396 of 7 March 2017 implementing Article 9\(5\) of Regulation \(EC\) No 1183/2005 imposing certain specific restrictive measures directed against persons acting in violation of the arms embargo with regard to the Democratic Republic of the Congo](#)

This Regulation amends Annex I to **Regulation (EC) No 1183/2005** by updating the identifying information relating to 30 persons and 9 entities subject to restrictive measures.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/199 of 6 February 2017 implementing Article 9\(5\) of Regulation \(EC\) No 1183/2005 imposing certain specific restrictive measures directed against persons acting in violation of the arms embargo with regard to the Democratic Republic of the Congo](#)

This Regulation amends Annex I to **Regulation (EC) No 1183/2005** by updating the identifying information relating to 21 persons and 1 entity subject to restrictive measures.

UNSC Resolutions

[S/RES/2360 \(2017\) - Adopted by the Security Council at its 7981st meeting, on 21 June 2017](#)

This Resolution builds on Resolution 2293 (2016), in that it renews the measures established by the latter Resolution relating to arms, transport, finance and travel. In addition, such Resolution extends the sanctions designation criteria to cover individuals and entities engaging in or providing support with respect to acts that include planning, directing, sponsoring or participating in attacks against the United Nations Organisation Stabilisation Mission in the Democratic Republic of the Congo (MONUSCO) peacekeepers or United Nations personnel, including members of the Group of Experts.

9. EGYPT

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/491 of 21 March 2017 implementing Regulation \(EU\) No 270/2011 concerning restrictive measures directed against certain persons, entities and bodies in view of the situation in Egypt](#)

This Regulation amends Annex I to **Regulation (EU) No 270/2011** by amending the statements of reasoning relating to six persons, updating the identifying information relating to one person, and removing two persons from the list of natural and legal persons, entities and bodies set out in the same Annex.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/490 of 21 March 2017 implementing Regulation \(EU\) No 270/2011 concerning restrictive measures directed against certain persons, entities and bodies in view of the situation in Egypt](#)

This Regulation amends Annex I to **Regulation (EU) No 270/2011** by removing two persons from the list of natural and legal persons, entities and bodies subject to the asset freeze.

10. GUINEA-BISSAU

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/403 of 7 March 2017 implementing Article 11\(1\) of Regulation \(EU\) No 377/2012 concerning restrictive measures directed against certain persons, entities and bodies threatening the peace, security or stability of the Republic of Guinea-Bissau](#)

This Regulation amends Annex I to **Regulation (EU) No 377/2012** by updating the identifying information relating to 11 persons subject to restrictive measures.

11. IRAN (NUCLEAR PROLIFERATION)

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1124 of 23 June 2017 implementing Regulation \(EU\) No 267/2012 concerning restrictive measures against Iran](#)

This Regulation amends Annex VIII to **Regulation (EU) No 267/2012** by amending the identifying information in relation to 23 persons and 14 entities listed in the same Annex.

[COUNCIL REGULATION \(EU\) 2017/964 of 8 June 2017 amending Regulation \(EU\) No 267/2012 concerning restrictive measures against Iran](#)

This Regulation amends **Regulation (EU) No 267/2012** to give effect to the measures provided for in Decision (CFSP) 2017/974, which amends Articles 26c and 26d of Decision (CFSP) 2010/413. In Article 26c, the procurement of certain nuclear-related goods from Iran by nationals of Member States, or using their flagged vessels or aircraft, is to be subject to approval by the Joint Commission. In Article 26d, Member States are required to obtain, prior to authorising any transaction mentioned therein, information on the end-use and end-use location of any supplied item.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/685 of 11 April 2017 implementing Regulation \(EU\) No 359/2011 concerning restrictive measures directed against certain persons, entities and bodies in view of the situation in Iran](#)

Through this Regulation, the Council renewed the restrictive measures set out in **Regulation (EU) No 359/2011** as well as updated the entries concerning certain persons included in Annex I to the same Regulation.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/77 of 16 January 2017 implementing Regulation \(EU\) No 267/2012 concerning restrictive measures against Iran](#)

This Regulation amends Annex IX to **Regulation (EU) No 267/2012** by deleting the entries relating to four entities from the list of persons and entities subject to restrictive measures set out in the same Annex.

12. IRAQ

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/441 of 13 March 2017 amending Council Regulation \(EC\) No 1210/2003 concerning certain specific restrictions on economic and financial relations with Iraq](#)

This Regulation amends Annex III to **Regulation (EC) No 1210/2003** by removing one entry from the list of persons or entities to whom the freezing of funds and economic resources should apply.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/184 of 1 February 2017 amending Council Regulation \(EC\) No 1210/2003 concerning certain specific restrictions on economic and financial relations with Iraq](#)

This Regulation amends Annex III to **Regulation (EC) No 1210/2003** by removing one entry from the list of persons or entities to whom the freezing of funds and economic resources should apply.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/44 of 10 January 2017 amending Council Regulation \(EC\) No 1210/2003 concerning certain specific restrictions on economic and financial relations with Iraq](#)

This Regulation amends Annex III to **Regulation (EC) No 1210/2003** by removing two entries from the list of persons or entities to whom the freezing of funds and economic resources should apply.

13. LIBYA

EU Decisions/Regulations

[COUNCIL DECISION \(CFSP\) 2017/1776 of 28 September 2017 amending Decision \(CFSP\) 2015/1333 concerning restrictive measures in view of the situation in Libya](#)

This Decision amends **Decision (CFSP) 2015/1333** by extending the restrictive measures against three persons for a further period of 6 months.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1456 of 10 August 2017 amending Council Regulation \(EU\) 2016/44 concerning restrictive measures in view of the situation in Libya](#)

This Regulation amends Annex V to **Council Regulation (EU) 2016/44** by adding the vessel *Lynn S* to the list of vessels subject to restrictive measures, and numbering the list of such vessels.

[COUNCIL REGULATION \(EU\) 2017/1419 of 4 August 2017 amending Regulation \(EU\) 2016/44 concerning restrictive measures in view of the situation in Libya](#)

This Regulation amends **Regulation (EU) 2016/44** with a view to ensuring that the measures set out in Resolution 2362 (2017) of the UNSC are implemented at the level of the EU and uniformly applied by economic operators across all Member States.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1423 of 4 August 2017 amending Council Regulation \(EU\) 2016/44 concerning restrictive measures in view of the situation in Libya](#)

This Regulation amends Annex V to **Council Regulation (EU) 2016/44** by adding the vessel *Capricorn* to the list of vessels subject to restrictive measures.

[COUNCIL REGULATION \(EU\) 2017/1325 of 17 July 2017 amending Regulation \(EU\) 2016/44 concerning restrictive measures in view of the situation in Libya](#)

This Regulation amends **Regulation (EU) 2016/44** to give effect to the measures set out in Decision (CFSP) 2017/1338, namely the application of export restrictions on certain goods to Libya which may be used for smuggling migrants and trafficking in human beings.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/489 of 21 March 2017 implementing Article 21\(5\) of Regulation \(EU\) 2016/44 concerning restrictive measures in view of the situation in Libya](#)

This Regulation amends Annexes II and VI to **Regulation (EU) 2016/44** by updating the information relating to 15 persons and two entities subject to restrictive measures.

[COUNCIL REGULATION \(EU\) 2017/488 of 21 March 2017 amending Regulation \(EU\) 2016/44 concerning restrictive measures in view of the situation in Libya](#)

This Regulation amends Article 21 of **Regulation (EU) 2016/44** with a view to confer powers on the Council to amend Annex II or VI to the same Regulation following a decision by the United Nations Security Council or Sanctions Committee².

UNSC Resolutions

[S/RES/2362 \(2017\) - Resolution 2362 \(2017\) Adopted by the Security Council at its 7988th meeting, on 29 June 2017](#)

Through this Resolution, the Security Council decided to extend the authorisations provided by and the measures imposed by [Resolution 2146 \(2014\)](#) until 15th November 2018, and to extend their applicability to cover petroleum. In addition, the Security Council further elaborated the designation criteria and extended the mandate of the Panel of Experts until 15th November 2018.

² The Libya Sanctions Committee

[S/RES/2357 \(2017\) - Resolution 2357 \(2017\) Adopted by the Security Council at its 7964th meeting, on 12 June 2017](#)

In this Resolution, the Security Council decided to extend the authorisations as set out in [Resolution 2292](#) for a further 12 months from the date of such Resolution.

14. MALI

EU Regulations

[COUNCIL REGULATION \(EU\) 2017/1770 of 28 September 2017 concerning restrictive measures in view of the situation in Mali](#)

This Regulation gives effect to **Council Decision (CFSP) 2017/1775**, which in turn implements the measures provided for in **Resolution 2374 (2017)** adopted by the UNSC on 5th September 2017. These measures provide for travel restrictions and the freezing of funds and economic resources of certain persons designated by the UNSC or the Mali Sanctions Committee of the UNSC as responsible for or complicit in, or having engaged in, directly or indirectly, actions or policies that threaten the peace, security, or stability of Mali. Such persons are listed in the Annex to Decision (CFSP) 2017/1775 and Annex I to the above Regulation.

UNSC Resolutions

[S/RES/2374 \(2017\) - Resolution 2374 \(2017\) Adopted by the Security Council at its 8040th meeting, on 5 September 2017](#)

Through this Resolution, the Security Council decided to impose a travel ban and an asset freeze on individuals and/or entities designated by the Committee³ in view of the situation in Mali. In addition, the Security Council established the aforementioned Committee as well as a Panel of Experts.

15. DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA (DPRK OR NORTH KOREA)

[Corrigendum to Council Implementing Regulation \(EU\) 2017/1568 of 15 September 2017 implementing Regulation \(EU\) 2017/1509 concerning restrictive measures against the Democratic People's Republic of Korea](#)

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1568 of 15 September 2017 implementing Regulation \(EU\) 2017/1509 concerning restrictive measures against the Democratic People's Republic of Korea](#)

³ The Mali Sanctions Committee

This Regulation amends Annex XIII to **Council Regulation (EU) 2017/1509** by adding 1 person and 3 entities to the list of persons and entities subject to restrictive measures. This amendment is in line with **Resolution 2375 (2017)** adopted by the UNSC on 11th September 2017.

[**COUNCIL REGULATION \(EU\) 2017/1548 of 14 September 2017 amending Regulation \(EU\) 2017/1509 concerning restrictive measures against the Democratic People's Republic of Korea**](#)

This Regulation amends **Council Regulation (EU) 2017/1509** to give effect to the measures set out in **Decision (CFSP) 2017/1562**, which in turn provides for the measures imposed by the Security Council in **Resolution 2371 (2017)**.

[**COUNCIL REGULATION \(EU\) 2017/1509 of 30 August 2017 concerning restrictive measures against the Democratic People's Republic of Korea and repealing Regulation \(EC\) No 329/2007**](#)

This Regulation repeals and replaces **Council Regulation (EC) No 329/2007** concerning restrictive measures against the Democratic People's Republic of Korea. With this new Regulation, the Council consolidated all the measures imposed by all the Resolutions issued by the UNSC to date, including import and export restrictions, luxury goods embargo, asset freeze on certain persons and entities, measures relating to the transport sector, and several other additional EU measures that complement and reinforce the UN-based restrictive measures.

[**COUNCIL REGULATION \(EU\) 2017/1501 of 24 August 2017 amending Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea**](#)

This Regulation amends **Regulation (EC) No 329/2007** by deleting the entry for the Korean National Insurance Company (KNIC) from Annex V to the latter Regulation, since this entry is already included in Annex IV of the same Regulation, and by providing for the cases in which, under **Resolution 2371 (2017)** of the Security Council, restrictive measures against the Foreign Trade Bank (FTB) and the KNIC do not apply.

[**COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1457 of 10 August 2017 amending Council Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea**](#)

This Regulation amends Annex IV to **Council Regulation (EC) 329/2007** by adding nine natural persons and four entities to the list of persons and entities subject to restrictive measures, and amending two existing entries.

[**COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/1330 of 17 July 2017 amending Council Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea**](#)

This Regulation amends **Annexes IV and V** to **Council Regulation (EC) No 329/2007** as per below:

- The entries for two entities subject to restrictive measures listed in **Annex IV** are amended to reflect the decision by the 1718 Sanctions Committee (DPRK) of the Security Council to amend the same entries;
- Some of the 14 natural persons and 4 entities designated by the UNSC under Resolution 2356 (2017) as being subject to restrictive measures (which were added to Annex IV by means of Commission Implementing Regulation 2017/970) should be deleted from **Annex V** as they are already included under Annex IV.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/993 of 12 June 2017 amending Council Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea](#)

This Regulation amends Annex V to **Council Regulation 329/2007** by removing one person and one entity from the list of persons and entities subject to restrictive measures, and updates a number of other entries.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/970 of 8 June 2017 amending Council Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea](#)

This Regulation amends Annex IV to **Council Regulation 329/2007** by adding 14 natural persons and 4 entities to the list of persons and entities subject to restrictive measures. Such amendments were carried out to reflect **Resolution 2356 (2017)** adopted by the UNSC on 2nd June 2017. In addition, the above Regulation amends the listing of 4 existing entries in Annex IV to the same Regulation.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/661 of 6 April 2017 amending Council Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea](#)

This Regulation amends **Annexes I_h, II, III, III_a, III_b** and **V** to **Council Regulation (EC) No 329/2007** as per below:

- **Annex II** should be updated with the latest information provided by Member States regarding the identification of Competent Authorities;
- The copper, nickel, silver and zinc, the statues and the helicopters and vessels to be included in **Annexes I_h, III_a and III_b** (as added by Council Regulation 2017/330) should now be identified, and they should be allocated the reference numbers taken from the Combined Nomenclature as set out in Annex I to Council Regulation (EEC) No 2658/87;
- The list of luxury goods, already subject to an export ban, set out in **Annex III**, should be further refined in accordance with **Resolution 2321 (2016)** adopted by the UNSC on 30th November 2016;
- Four natural persons are added to the list of persons and entities subject to restrictive measures found in **Annex V**.

[COUNCIL REGULATION \(EU\) 2017/658 of 6 April 2017 amending Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea](#)

This Regulation amends **Regulation (EC) No 329/2007** by expanding the ban on EU investment in and with North Korea to the conventional arms-related industry, metallurgy, metalworking and aerospace sectors, and bans the provision of certain services to entities and citizens inside North Korea.

[COUNCIL REGULATION \(EU\) 2017/330 of 27 February 2017 amending Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea](#)

This Regulation amends **Regulation (EC) No 329/2007** with a view to implementing the measures contained in [Resolution 2321 \(2016\)](#) which was adopted by the United Nations Security Council on 30 November 2016.

[COMMISSION IMPLEMENTING REGULATION \(EU\) 2017/80 of 16 January 2017 amending Council Regulation \(EC\) No 329/2007 concerning restrictive measures against the Democratic People's Republic of Korea](#)

This Regulation amends Annex IV to **Regulation (EU) 2017/80** by updating the identifying information relating to one entity, namely Ocean Maritime Management Company Limited (OMM), which is subject to the freezing of funds and economic resources under the same Regulation.

UNSC Resolutions

[S/RES/2375 \(2017\) - Adopted by the Security Council at its 8042nd meeting, on 11 September 2017](#)

This Resolution builds on previous Resolutions adopted by the Security Council by way of new and reinforced measures. Among these measures, the Security Council designated 1 additional individual and 3 entities to the list of persons and entities subject to restrictive measures.

[S/RES/2371 \(2017\) - Adopted by the Security Council at its 8019th meeting, on 5 August 2017](#)

The measures imposed by the Security Council through this Resolution include authorising the 1718 Committee (DPRK) to designate vessels related to activities prohibited by relevant Resolutions, and prohibits port calls by designated vessels and chartering of DPRK flagged vessels; banning the hiring and paying of additional DPRK laborers used to generate foreign export earnings; expanding financial sanctions by prohibiting new or expanded joint ventures and cooperative commercial entities with the DPRK; and designating additional 9 individuals and 4 entities, and updating the information relating to 2 previously designated individuals.

[S/RES/2356 \(2017\) - Adopted by the Security Council at its 7958th meeting, on 2 June 2017](#)

This Resolution adds 14 individuals and 4 entities to the list of persons and entities subject to the asset freeze and/or travel ban (kindly refer to **Annexes I** and **II** of the same Resolution).

16. SOMALIA

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/395 of 7 March 2017 implementing Article 13 of Regulation \(EU\) No 356/2010 imposing certain specific restrictive measures directed against certain natural or legal persons, entities or bodies, in view of the situation in Somalia](#)

This Regulation amends Annex I to **Regulation (EU) No 356/2010** by updating the identifying information relating to 12 persons and one entity subject to restrictive measures.

17. SOUTH SUDAN

EU Regulations

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/402 of 7 March 2017 implementing Article 20\(3\) of Regulation \(EU\) 2015/735 concerning restrictive measures in respect of the situation in South Sudan](#)

This Regulation amends Annex I to **Regulation (EU) No 2015/735** by updating the identifying information relating to six persons subject to restrictive measures.

UNSC Resolutions

[S/RES/2353 \(2017\) - Resolution 2353 \(2017\) Adopted by the Security Council at its 7948th meeting, on 24 May 2017](#)

Through this Resolution, the Security Council decided to renew until 31st May 2018 the measures imposed by paragraphs 9 and 12 of [Resolution 2206 \(2015\)](#) and extends until 30th June 2018 the mandate of the Panel of Experts.

18. SUDAN

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/401 of 7 March 2017 implementing Article 15\(3\) of Regulation \(EU\) No 747/2014 concerning restrictive measures in view of the situation in Sudan](#)

This Regulation amends Annex I to **Regulation (EU) No 747/2014** by updating the identifying information relating to four persons subject to restrictive measures.

19. SYRIA

EU Decisions/Regulations

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1751 of 25 September 2017 implementing Regulation \(EU\) No 36/2012 concerning restrictive measures in view of the situation in Syria](#)

This Regulation amends Annex II to **Regulation (EU) No 36/2012** by updating the information relating to four persons and one entity subject to restrictive measures.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1327 of 17 July 2017 implementing Regulation \(EU\) No 36/2012 concerning restrictive measures in view of the situation in Syria](#)

This Regulation amends Annex II to **Regulation (EU) No 36/2012** by adding 16 persons to the list of natural and legal persons, entities or bodies subject to restrictive measures.

[COUNCIL IMPLEMENTING DECISION \(CFSP\) 2017/1245 of 10 July 2017 implementing Decision 2013/255/CFSP concerning restrictive measures against Syria](#)

This Decision amends Annex I to **Decision (CFSP) 2013/255** by removing one person from the list of natural and legal persons, entities or bodies subject to restrictive measures, adding one entity to the list of entities, and updating the information relating to one person.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1241 of 10 July 2017 implementing Regulation \(EU\) No 36/2012 concerning restrictive measures in view of the situation in Syria](#)

This Regulation amends Annex II to **Council Regulation (EU) No 36/2012** by removing one person from the list of natural and legal persons, entities or bodies subject to restrictive measures, adding one entity to the list of entities, and updating the information relating to one person.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/907 of 29 May 2017 implementing Regulation \(EU\) No 36/2012 concerning restrictive measures in view of the situation in Syria](#)

This Regulation amends Annex II to **Council Regulation (EU) No 36/2012** by updating and amending the entries for certain persons, entities and bodies subject to restrictive measures.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/480 of 20 March 2017 implementing Regulation \(EU\) No 36/2012 concerning restrictive measures in view of the situation in Syria](#)

This Regulation amends Annex II to **Regulation (EU) No 36/2012** by adding four persons to the list of natural and legal persons, entities or bodies subject to restrictive measures in the same Annex.

20. TERRORISM AND TERRORIST FINANCING

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1420 of 4 August 2017 implementing Article 2\(3\) of Regulation \(EC\) No 2580/2001 on specific restrictive measures directed against certain persons and entities with a view to combating terrorism, and repealing Implementing Regulation \(EU\) 2017/150](#)

By way of this Regulation, the Council repeals *Implementing Regulation 2017/150*, and updates the list as found in Article 2(3) of *Regulation (EC) 2580/2001*.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/965 of 8 June 2017 implementing Article 2\(3\) of Regulation \(EC\) No 2580/2001 on specific restrictive measures directed against certain persons and entities with a view to combating terrorism and amending Implementing Regulation \(EU\) 2017/150](#)

This Regulation amends Article 2(3) of *Regulation (EC) 2580/2001* by removing one entity from the list provided therein. The said list consists of persons, groups and entities to which Regulation (EC) 2580/2001 applies.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/150 of 27 January 2017 implementing Article 2\(3\) of Regulation \(EC\) No 2580/2001 on specific restrictive measures directed against certain persons and entities with a view to combating terrorism, and repealing Implementing Regulation \(EU\) 2016/1127](#)

This Regulation provides for an updated list of persons, groups and entities subject to the specific restrictive measures provided for in *Regulation (EC) No 2580/2011*, as well as repeals Implementing Regulation (EU) 2016/1227.

21. TUNISIA

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/149 of 27 January 2017 implementing Regulation \(EU\) No 101/2011 concerning restrictive measures directed against certain persons, entities and bodies in view of the situation in Tunisia](#)

This Regulation amends Annex I to *Regulation (EU) No 101/2011* by updating the identifying information concerning two listed persons.

22. UKRAINE

[Corrigendum to Council Decision \(CFSP\) 2017/1561 of 14 September 2017 amending Decision 2014/145/CFSP concerning restrictive measures in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine](#)

Corrigendum to [Council Decision \(CFSP\) 2017/1561](#).

[CORRIGENDA Corrigendum to Council Implementing Regulation \(EU\) 2017/1549 of 14 September 2017 implementing Regulation \(EU\) No 269/2014 concerning restrictive measures in respect of](#)

[actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine](#)

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1549 of 14 September 2017 implementing Regulation \(EU\) No 269/2014 concerning restrictive measures in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine](#)

This Regulation amends Annex I to **Regulation (EU) No 269/2014** by amending the information with respect to certain persons and entities, deleting the entries for 4 deceased persons, and carrying out amendments to reflect the changes in the ownership structure of three entities.

[COUNCIL REGULATION \(EU\) 2017/1547 of 14 September 2017 amending Regulation \(EU\) No 269/2014 concerning restrictive measures in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine](#)

This Regulation amends **Regulation (EU) 269/2014** by inserting Article 6a, and amending Article (12)(1)(a). As a result, this Regulation implements **Implementing Regulation (EU) 2017/1549** and **Decision (CFSP) 2017/1561**.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/1374 of 25 July 2017 implementing Regulation \(EU\) No 269/2014 concerning restrictive measures in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine](#)

This Regulation amends Annex I to **Regulation (EU) No 269/2014** by amending the entry for one person.

[COUNCIL DECISION \(CFSP\) 2017/1148 of 28 June 2017 amending Decision 2014/512/CFSP concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine](#)

This Decision renews **Decision (CFSP) 2014/512** for a further six months to enable the Council to further assess the implementation of the Minsk agreements in view of Russia's actions destabilising the situation in Ukraine.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/437 of 13 March 2017 implementing Regulation \(EU\) No 269/2014 concerning restrictive measures in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine](#)

This Regulation amends Annex I to **Regulation (EU) No 269/2014** by amending the entries for certain persons and entities, and deleting the entries for two persons.

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/374 of 3 March 2017 implementing Regulation \(EU\) No 208/2014 concerning restrictive measures directed against certain persons, entities and bodies in view of the situation in Ukraine](#)

This Regulation amends Annex I to **Regulation (EU) No 208/2014** by deleting the entry for one person listed in the same Annex.

23. YEMEN

EU Decisions/Regulations

[COUNCIL IMPLEMENTING REGULATION \(EU\) 2017/628 of 3 April 2017 implementing Article 15\(3\) of Regulation \(EU\) No 1352/2014 concerning restrictive measures in view of the situation in Yemen](#)

This Regulation amends Annex I to **Regulation (EU) No 1352/2014** by updating the information with respect to 4 persons subject to restrictive measures.

UNSC Resolutions

[Resolution 2342 \(2017\) adopted by the Security Council at its 7889th meeting, on 23 February 2017](#)

This Resolution, *inter alia*, renews until 28 February 2018 the asset freeze, travel ban and targeted arms embargo against individuals or entities designated by the Committee⁴.

24. ZIMBABWE

[COUNCIL REGULATION \(EU\) 2017/284 of 17 February 2017 amending Regulation \(EC\) No 314/2004 concerning certain restrictive measures in respect of Zimbabwe](#)

This Regulation amends **Regulation (EC) No 314/2004** by introducing a derogation from the prohibition on the sale, supply, transfer and export of equipment which might be used for internal repression. In addition, Annex I to **Regulation (EC) No 314/2004** is replaced by the text appearing in the Annex to Regulation (EU) 2017/284.

⁴ 2140 Sanctions Committee (Yemen)

LICENCE HOLDERS OBLIGATIONS

The MFSA requires its licence holders to take note of all international sanctions as issued from time to time (including new designations of individuals and entities) and to exercise caution and vigilance in order to ensure that they do not in any way support activities, individuals or entities which are subject to sanctions or other restrictive measures. Licence holders have a legal obligation to comply with international sanctions and to take all steps as may be required for their immediate implementation. They are therefore required to monitor their business relationships and to verify their records on an on-going basis for any information or transactions known or suspected to be connected or related in any manner whatsoever with designated individuals and entities and to identify and freeze any funds, financial assets and economic resources in accordance with the requirements of the sanctions.

REPORTING

Licence holders are also required to report the findings of their verifications to the MFSA and to inform the MFSA of any action taken. In particular, licence holders are to report and give all relevant details to the MFSA about any funds, financial assets and economic resources which have been identified to be owned or controlled, directly or indirectly, by the designated individuals and entities and which they have frozen in terms of sanctions.

Information may be sent either by mail to the Director - Enforcement Unit, or via e-mail on enforcement.aml@mfsa.com.mt.

It is also required that any person who identifies, holds and freezes any such funds, financial assets or economic resources notifies in writing without delay the Sanctions Monitoring Board at the Ministry of Foreign affairs.

Finally, the MFSA would like to stress that the purpose of this notice is to provide an overview to License Holders of the restrictive measures that have been issued recently by the EU and the UNSC. License Holders should refer to the Official Journal of the European Union and the website of the UNSC for the complete list and any other related information concerning the restrictive measures that have been issued recently by the EU and the UNSC.

**Communications Unit
Malta Financial Services Authority
MFSA Ref: 02-2017
17th October 2017**