

Financial Services Authority



The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

BECTON SECURITIES GmbH
An der Welle 4
60322 Frankfurt am Main
Germany
Ph: 0800 011 2470
Ph: +49(0)69 29729791
F: +49(0)69 29801746

also using the following address:

BECTON SECURITIES GmbH
Zeil 77
60313 Frankfurt am Main
Deutschland

And claiming to be registered at the District Court Frankfurt am Main, **HRB 90985**,

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml>

We note that the firm also has a website, www.bectonsecurities.com

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.