The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

**Ernest Weiss Securities**  
Office 8-14  
Floor 11  
5 Canada Square  
London  
E14 5AQ  
Tel: 0203 582 9968  
Fax: 0203 284 1145  
admin@ernest-weiss.com  
enquiries@ernest-weiss.com

15th Floor  
380 Lexington Avenue  
New York  
10168  
Tel: 001 914 4373 7856  
trading@ernest-weiss.com

Floor 2  
Herrengasse 6  
1014 Vienna  
Austria  
hq@ernest-weiss.com  
www.ernest-weiss.com

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:


If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.